

FENIX SECURITIES, LLC (FENX) - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2020

October 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
55.91	47.15	28.46	24.39	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	13.41	0.00	6.91	6.50	0.00	0	-0.1	-0.0562	-0.1	0	-0.1	0	-0.1
Two Sigma Securities, LLC (SOHO)	73.98	34.55	21.54	17.89	0.00	-0.6813	-0.1	-0.1229	-0.1	-0.093	-0.1	0	-0.1

Material Aspects:

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

October 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
64.50	22.91	17.74	59.36	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC (SOHO)	60.47	20.25	16.34	23.88	0.00	-3.3882	-0.1	-0.9306	-0.1	-0.4168	-0.1	0	-0.1
SPEEDROUTE LLC (SPDR)	34.50	2.65	1.40	30.45	0.00	-0.064	-0.1	-0.016	-0.1	-0.0804	-0.1	0	-0.1

Material Aspects:

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

October 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
12.16	0.00	0.00	100.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VOLANT EXECUTION, LLC (CMSP)	100.00	0.00	0.00	100.00	0.00	0	-10	0	-10	0	-10	0	-10

Material Aspects:

VOLANT EXECUTION, LLC (CMSP):

[Fenix Securities, LLC receives payment from Volant Executions, LLC for directing order flow of listed options to this venue under a fully disclosed clearing agreement. For additional information detailed Routing Information please refer to: Volant Execution, LLC, <https://volantrading>

November 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
31.47	56.54	19.90	23.56	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	14.14	3.14	6.28	4.71	0.00	-0.0006	-0.1	-0.0509	-0.1	-0.0224	-0.1	0	-0.1
Two Sigma Securities, LLC (SOHO)	78.53	46.07	13.61	18.85	0.00	-0.8838	-0.1	-0.0806	-0.1	-0.0323	-0.1	0	-0.1

Material Aspects:

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

November 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
61.75	28.77	13.81	57.42	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC (SOHO)	63.46	23.90	11.48	28.07	0.00	-7.6359	-0.1	-0.6462	-0.1	-4.4624	-0.1	0	-0.1
SPEEDROUTE LLC (SPDR)	29.12	0.93	2.32	25.87	0.00	-0.5603	-0.1	-0.1343	-0.1	-0.1141	-0.1	0	-0.1

Material Aspects:

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

November 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
12.11	0.00	0.00	100.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VOLANT EXECUTION, LLC (CMSP)	100.00	0.00	0.00	100.00	0.00	0	-10	0	-10	0	-10	0	-10

Material Aspects:

VOLANT EXECUTION, LLC (CMSP):

[Fenix Securities, LLC receives payment from Volant Executions, LLC for directing order flow of listed options to this venue under a fully disclosed clearing agreement. For additional information detailed Routing Information please refer to: Volant Execution, LLC, <https://volanttrading>

December 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
54.78	76.03	13.01	10.96	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	7.19	0.34	4.11	2.74	0.00	0	-0.1	-0.0326	-0.1	-0.0044	-0.1	0	-0.1
Two Sigma Securities, LLC (SOHO)	61.99	44.86	8.90	8.22	0.00	-1.8497	-0.1	-0.0927	-0.1	-0.1762	-0.1	0	-0.1

Material Aspects:

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)]

December 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
64.53	50.43	12.58	36.99	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC (SOHO)	47.78	24.88	10.69	12.20	0.00	-5.2547	-0.1	-0.9319	-0.1	-1.2184	-0.1	0	-0.1
SPEEDROUTE LLC (SPDR)	26.96	3.22	1.89	21.85	0.00	-0.5736	-0.1	-0.1513	-0.1	-0.0794	-0.1	0	-0.1

Material Aspects:

Two Sigma Securities, LLC (SOHO):

[Fenix Securities, LLC receives payment from Two Sigma Securities for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)]

SPEEDROUTE LLC (SPDR):

[Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at => \$1.00 NMS names during core hours (10 mills per share)]

December 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
1.47	0.00	25.00	75.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VOLANT EXECUTION,	100.00	0.00	25.00	75.00	0.00	0	-10	-0.01	-10	0	-10	0	-10

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
LLC (CMSP)													

Material Aspects:

VOLANT EXECUTION, LLC (CMSP):

[Fenix Securities, LLC receives payment from Volant Executions, LLC for directing order flow of listed options to this venue under a fully disclosed clearing agreement. For additional information detailed Routing Information please refer to: Volant Execution, LLC, <https://volanttrading>