

LIMITED TRADING AUTHORIZATION

AUTHORIZED AGENT

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person authorized to trade on an account. What this means to you: When you are authorized to trade on an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents

The Agent agrees to immediately notify Vision in writing if the Agent, or members of their household, are either (a) currently employed or licensed by a member of a stock exchange or the Financial Industry Regulatory Authority (FINRA), or registered as a investment advisor and using a license in a professional sales, trading or customer service capacity, or (b) a director, 10% share-holder or policy making officer of a company which trades publicly on a stock exchange.

AUTHORIZED AGENT INFORMATION

Print Name

Social Security Number

Street Address

City, State, Zip Code

Business Telephone

Home Telephone

Cell Phone

Date of Birth

Check here if you, any member of your immediate family, or personal or business associate is a current or former senior political figure of a foreign government or political party, or a senior executive of a foreign government-owned commercial enterprise. Specify the name of the political figure or executive, political title, political party, foreign government or commercial enterprise, and relationship to account owner.

Check here if you are a director, 10% shareholder or policy-making officer of a publicly traded company. Specify the company name, address, city and state/province.

Check here if you are licensed or employed by a registered broker/dealer. We must receive a compliance letter along with this application.

Check here if you currently have an ownership interest or control the trading of any other account at Vision. Please provide your Representative with a list of such accounts.

Check here to confirm that you will advise Vision in the event you obtain an ownership interest or control in another account at Vision at a later date.

Please specify if you are: Self Employed Unemployed Retired Homemaker Student

Employer Name *(If self-employed, provide the name of your business and type of business.)*

Occupation

Type of Business

Source of Income *(If retired or unemployed)*

Employer Street Address

City, State, Zip Code, Country

Check one: U.S. Citizen Resident Alien Non-Resident Alien

Your Country of Citizenship

Please include a photocopy (enlarged if possible) of your current passport, drivers license or other government issued document bearing a photograph and including a signature when returning this application.

Drivers License #, Passport # or Alien Identification Card # (if applicable)

PLEASE SIGN AND DATE BELOW

X

Signature of Authorized Agent

Date